

NATIONAL PHASE

UTILITY APPLICATION

Transmittal to the (DO/EO/US)

Under 35 USC 371

MATS Rec'd PET/PTO 09 DEC 2005

Attorney Docket No.: PLOUG5.001APC

First Named Inventor: Søren Kragh

Int'l Application No.: PCT/DK2004/000395

Int'l Filing Date: June 10, 2004 Priority Date Claimed: June 12, 2003

Title: OPTICAL AMPLIFICATION IN MINIATURIZED

POLYMER CAVITY RESONATORS Express Mail Label No.: EV 466893707 US

Direct all correspondence to Customer No.: 20995

Date: December 9, 2005

Page 1 of 2

10/560093

Mail Stop PCT

United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

1. Søren Kragh

2. Anders Kristensen

3. Aric Menon

APPLICATION ELEMENTS:

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau.
 - (X) PCT/IB/308 enclosed.
- (X) An English translation of the International Application as filed is not required.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) are attached hereto.

OTHER APPLICATION PARTS:

- (X) Preliminary Amendment in 9 pages.
- (X) A copy of the published PCT application WO 2004/112204 A2.
- (X) Return prepaid postcard.

NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) **Under 35 USC 371**

Attorney Docket No.: IAP9 Rec'd PCT/PTO 09 DEC 2005

First Named Inventor: Søren Kragh

Int'l Application No.: PCT/DK2004/000395

Int'l Filing Date: June 10, 2004 Priority Date Claimed: June 12, 2003

Title: OPTICAL AMPLIFICATION IN MINIATURIZED

POLYMER CAVITY RESONATORS Express Mail Label No.: EV 466893707 US

Direct all correspondence to Customer No.: 20995

Date: December 9, 2005

Page 2 of 2

FILING FEES:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

	FEE CALCUI	LATION			-	
FEE TYPE & DETERMINATION		SMALL FEE	CALCULATION		TOTAL	
Basic Filing Fee under 1.492(a)		2631 (\$150)				\$150
Search Fee under 1.492(b) (enter one in TOTAL column)						
(1) USPTO was ISA/IPI	2640 (\$0)					
(2) USPTO was the ISA (noncompliant claims)		2641 (\$50)			•	\$250
(3) Foreign Search Report Enclosed		2642 (\$200)				
(4) No Search Report Enclosed		2632 (\$250)]			
Examination Fee under 1.492(c) (enter one in TOTAL column)						
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2643 (\$0)				\$100
(2) Non US IPEA or Noncompliant Claims		2633 (\$100)				
Excess Claims	35 - 20 = 15	2615 (\$25)	15	x	25 =	\$375
Excess Ind. Claims	4 - 3 = 1	2614 (\$100)	1	x	100 =	\$100
Multiple Dep. Claim		2616 (\$180)				\$180
TOTAL FEE DUE					\$1,155	
TOTAL FEE PAID (If Different Than Fee Due)						\$

A check in the amount of \$1,155 to cover the Total Fee Due is enclosed. (X)

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

Neil S. Bartfeld, Ph.D.

Registration No. 39,901

Agent of Record

Customer No. 20,995

(619) 235-8550

Knobbe Martens Olson & Bear LLP

Intellectual Property Law

10/560093

2040 Main Street Fourteenth Floor Irvine, CA 92614 Tel 949-760-0404 Fax 949-760-9502

IAP9 Rec'd PCT/PTO 0 9 DEC 2005

Neil S. Bartfeld, Ph.D. Patent Scientist 619-525-8312 neil.bartfeld@kmob.com

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION BY "EXPRESS MAIL"

Attorney Docket No.

PLOUG5.001APC

Applicant(s)

: Kragh et al.

For

OPTICAL

AMPLIFICATION

IN

MINIATURIZED

POLYMER

CAVITY

RESONATORS

Agent

Neil S. Bartfeld, Ph.D.

"Express Mail" Label No.

EV 466893707 US

Date of Deposit

December 9, 2005

The following documents are hereby placed into an Express Mail envelope bearing the number indicated above, which envelope is being deposited today with the U.S. Postal Service as Express Mail:

Transmittal letter; Preliminary Amendment in 9 pages; Check(s) for Filing Fee(s); Return Prepaid Postcard; a copy of PCT/IB/308; a copy of Article 19 claim amendments; a copy of the published PCT application WO 2004/112204 A2.

The envelope, with the enclosures listed above, is addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

This submission is being made in compliance with 37 CFR 1.10.

EV466893707US

Name:

Docketing Agent

2185557 / 120905

San Diego 619-235-8550 San Francisco 415-954-4114 Los Angeles 310-551-3450 Riverside 951-781-9231

San Luis Obispo 805-547-5580